

1F-W

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Randall L. Carter et al.

Group Art Unit:

Serial No.: 10/797,394

Examiner:

Filed: March 8, 2004

For Stabilized Polyorganosiloxane Composition



## INFORMATION DISCLOSURE STATEMENT

 1 Pursuant to 37CFR 1.97(b)

[within 3 months of national, non-CPA filing or prior to 1st Office Action] no charge

 2 Certification Pursuant to 37 CFR 1.97(c)(1)

[before Final Office Action, Allowance, or other action closing prosecution] no charge

 3 Fee Payment Pursuant to 37 CFR 1.97(c)(2)

[before Final Office Action, Allowance, or other action closing prosecution] \$180.00

 4 Certification & Fee Payment Pursuant to 37 CFR 1.97(d)

[On or before issue fee payment] \$180.00

ATTN: MAILSTOP PATENT APPLICATION  
COMMISSIONER FOR PATENTS  
ALEXANDRIA, VA 22313-1450

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98:

- 5 A list of documents on form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated below.

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Signature

This paper is submitted in accordance with:

- 6 37 CFR 1.97(b): [within 3 months of national, non-CPA filing or prior to 1st Office Action]
  - 7 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
  - 8 The required Certification made in item 11 below;
  - 9 <sup>or</sup> The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 15 below.
  - 10 37 CFR 1.97(d): [on or before issue fee payment]; and
    - a) The required Certification is stated in item 11 below; and
    - b) The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
  - 11 Certification
  - 12 Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of this Statement; or
  - 13 No item contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing date of this Statement.
- 14 Payment of all applicable fees:
- 15 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0868

An original and two (2) copies of this document are enclosed.

Respectfully submitted,

12/10/01

Date

General Electric Company  
GEGR Patent Docket Rm. Bldg. K-1 Rm. 4A59  
One Research Circle  
Niskayuna, New York 12309  
Customer Number: 006147



Attorney - Patrick K. Patnode  
Registration No. 40,121  
Phone: (518) 387-5286  
or (518) 387-7122

FORM PTO-1449  
(REV. 7-80)

(Title Amended 3/83)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

SERIAL NO.

RD-27764-3

10/797,394

Applicant

Randall L. Carter et al.

Filing Date

Group

(Use several sheets if necessary)

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER						DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	3	5	2	9	0	3	5	09/15/70	Lamoreaux et al.	
	AB	3	7	1	5	2	3	2	02/06/73	Audesse	
	AC	3	9	4	6	2	6	3	03/23/76	Protzeller	
	AD	4	8	0	1	6	4	2	01/31/89	Janik et al.	
	AE	4	8	7	7	8	2	0	10/31/89	Cowan	
	AF	4	9	0	0	7	7	9	02/13/90	Leibfried	
	AG	5	0	0	8	3	6	0	04/16/91	Bard et al.	
	AH	5	0	1	3	8	0	0	05/07/91	Inoue	
	AI	5	0	2	5	0	4	8	06/18/91	Burnier	
	AJ	5	0	7	7	1	3	4	12/31/91	Leibfried	
	AK	5	0	3	4	0	6	1	07/23/91	Maguire et al.	

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	No
	AL	0 1 7 5 3 3 3	09/16/85	EPO				
	AM	9 6 1 6 1 1 0	11/15/95	PCT (WO)				
	AN							
	AO							
	AP							

## OTHER INFORMATION (Including Author, Title, Date, Pertinent pages. Etc.)

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO 1449  
(REV. 7-80)  
(Title Amended 3/83)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

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10/797,394

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT--

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## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	BA	5	1	1	8	7	3	5	06/02/92	Bumier		
	BB	5	1	5	8	9	9	2	10/27/92	Caselli et al.		
	BC	5	1	8	0	6	9	4	01/19/93	Renlund et al.		
	BD	5	3	5	0	7	8	6	09/27/94	Costanzi et al.		
	BE	5	4	4	6	1	1	3	08/29/95	Lewis		
	BF	5	5	6	1	1	7	9	10/01/96	Borzatta et al.		
	BG	5	9	0	5	1	4	8	05/18/99	Krongauz et al.		
	BH	5	9	9	0	2	0	8	11/23/99	Andrews		
	BI	6	0	3	1	0	3	3	02/29/00	Pickett et al.		
	BJ											
	BK											

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	No
	BL							
	BM							
	BN							
	BO							
	BP							

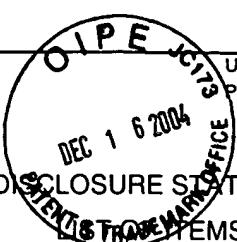
## OTHER INFORMATION (Including Author, Title, Date, Pertinent pages. Etc.)

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*(Use several sheets if necessary)*

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
CA	5	7	9	2	8	2	5	08/11/98	Karrer et al.			
CB	5	6	7	9	7	3	3	10/21/97	Malik et al.			
CC	3	7	7	5	4	5	2	11/27/73	Karstredy			
CD												
CE												
CF												
CG												
CH												
CI												
CJ												
CK												

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	No
	CL							
	CM							
	CN							
	CO							
	CP							

OTHER INFORMATION (Including Author, Title, Date, Pertinent pages. Etc.)

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